

**Nairobi Securities Exchange PLC**

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March 27, 2019

**Circular No. 1 of 2019**

**To: All Trading Participants**

**RE: Submission of Monthly Capital Adequacy Returns to the Nairobi Securities Exchange**

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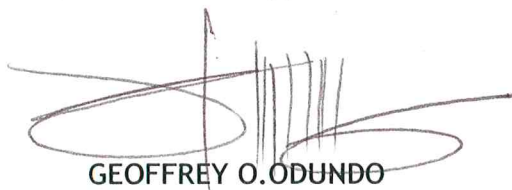
The Nairobi Securities Exchange is in the process of implementing a Risk Based Supervision approach for its Trading Participants in order to enhance the efficacy of its regulatory process in the discharge of its mandate as a first line regulator in the capital markets in Kenya.

The purpose of this circular is to advise all Trading Participants to be submitting monthly capital adequacy returns to the Exchange in the format submitted to the Capital Markets Authority.

Please note to submit the monthly capital adequacy returns to [regulatoryaffairs@nse.co.ke](mailto:regulatoryaffairs@nse.co.ke).

Should you require further clarification, please contact Ms. Loise Wangui, Head of Regulatory Affairs at 020 2831252.

Yours Sincerely,



**GEOFFREY O. ODUNDO**

**CHIEF EXECUTIVE**