

Authorised securities to be quoted:

Type of Security	Number	Par Value Per Unit	Total Nominal Value/Market Capitalisation

Please confirm the following documents have been attached to the Application:

Document Type	Yes/No
Board resolution authorizing quoting of securities	
Certified copies of Incorporation documents	
Certified copies of applicable licenses	
Audited financial statements (last 1 year)	
Trading Participant's application letter on behalf of the client	
Directors Statement on Issuer Application	

Comments:

1. **I/We have understood and agreed to abide by the *Unquoted Securities Platform Operational Guidelines* in effect.**
2. **I/We hereby attach appropriate documentation to support my application as per the list contained in the *Unquoted Securities Platform Operational Guidelines - Part I (Issuer Admission) – No 3 (Issuer Eligibility Criteria)*. I/We are aware that additional documentation may be requested as per *Section 3(n)*.**
3. **I/We hereby attach the shareholder and board resolutions.**
4. **I/We confirm that we will conduct my/our business in a professional and ethical manner.**
5. **I/We understand that the NSE PLC will process our application using internal vetting policies and procedures and not take any responsibility or liability for an unsuccessful application.**
6. **I/We confirm that I have paid the applicable fees.**

Sign Off:

FOR NSE PLC USE ONLY

Form prepared by: Nairobi Securities Exchange LLP. 23122020