

**PARTICIPATING AGENT APPLICATION FORM FOR UNQUOTED SECURITIES PLATFORM**

<b>Date of Application</b>	<b>Name of Participant</b>
<b>Date of Incorporation</b>	<b>Physical address of Participant's registered office</b>
<b>Nature of Business</b>	<b>Contact Details</b>

**Regulatory Clearance**

	*Licence 1	*Licence 2	*Licence 3
The Issuing Authority:			
Type of Licence:			
Licence Number:			
Date of Issue:			
Date of Expiry (If Applicable):			

\*Please provide a certified copy of each licence

**Names of Officers:**

Type of Officer	Names	Contact Details
System Administrator		
Authorised User		
Principal Officer		
Any others		

**Comments:**

- 1. I/We have understood and agreed to abide by the *Unquoted Securities Platform Operational Guidelines* in effect.**
- 2. I/We hereby attach appropriate documentation to support my application as per the list contained in the *Unquoted Securities Platform Operational Guidelines - Part 2 (Participant Admission)*. I/We are aware that additional documentation may be requested.**
- 3. I/We confirm that we will conduct my/our business in a professional and ethical manner.**
- 4. I/We confirm that we understand and we will conduct my/our business as per the Standards of Conduct contained in the *USP Operational Guidelines*.**
- 5. I/We understand that the NSE PLC will process our application using internal vetting policies and procedures and not take any responsibility or liability for an unsuccessful application.**
- 6. I/We confirm that the applicable fees have been paid.**

*Sign Off:*

**FOR NSE PLC USE ONLY**